

Where such funds have not been refunded to UNDP, the Implementing Partner agrees that donors to UNDP (including the Government) whose funding is the source, in whole or in part, of the funds for the activities under this Project Document, may seek recourse to the Implementing Partner for the recovery of any funds determined by UNDP to have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of the Project Document.

Note: The term "Project Document" as used in this clause shall be deemed to include any relevant subsidiary agreement further to the Project Document, including those with responsible parties, subcontractors and sub-recipients.

14. Each contract issued by the Implementing Partner in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from the Implementing Partner shall cooperate with any and all investigations and post-payment audits.
15. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.
16. The Implementing Partner shall ensure that all of its obligations set forth under this section entitled "Risk Management" are passed on to each responsible party, subcontractor and sub-recipient and that all the clauses under this section entitled "Risk Management Standard Clauses" are included, *mutatis mutandis*, in all sub-contracts or sub-agreements entered into further to this Project Document.

XI. ANNEXES

1. Project Quality Assurance Report

[Design QA Form](#)

2. Social and Environmental Risk Screening Checklist

Checklist of Potential Social and Environmental Risks	
Principle 1: Human Rights	Answer (Yes/No)
1. Would the project potentially involve or lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
2. Would the project potentially involve or lead to inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups?	No
3. Would the project potentially involve or lead to restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups?	No
4. Would the project potentially involve or lead to exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals from fully participating in decisions that may affect them?	No

5. Do duty-bearers have the capacity to meet their obligations in the project?	Yes
6. Is there a risk that rights-holders do not have the capacity to claim their rights?	No
7. Can local communities or individuals, if given the opportunity, raise human rights concerns regarding the project?	No
8. Would the project potentially involve or lead to exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Principle 2: Gender Equality and Women's Empowerment	
1. Would the project potentially involve or lead to adverse impacts on gender equality and/or the situation of women and girls?	No
2. Would the project potentially involve or lead to reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
3. Have women's groups/leaders raised gender equality concerns regarding the project and incorporated them into the overall project proposal and risk evaluation during the stakeholder engagement process?	No
4. Would the project potentially involve or lead to limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being	No
Principle 3: Environmental Sustainability: Screening questions regarding risks associated with environmental sustainability are encompassed by the Standard-specific questions below	
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management	
1.1 Would the project potentially involve or lead to adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes	No
1.2 Would the project potentially involve or lead to activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 Would the project potentially involve or lead to changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4 Would the project potentially involve or lead to risks to endangered species?	No
1.5 Would the project potentially involve or lead to introduction of invasive alien species?	No
1.6 Would the project potentially involve or lead to harvesting of natural forests, plantation development, or reforestation?	No
1.7 Would the project potentially involve or lead to harvesting of fish populations or other aquatic species?	No
1.8 Would the project potentially involve or lead to significant extraction, diversion or containment of surface or ground water? For example, construction of dams, reservoirs, river basin developments, groundwater extraction	No
1.9 Would the project potentially involve or lead to utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)	No

1.10 Would the project potentially involve or lead to adverse transboundary or global environmental concerns?	No
1.11 Would the project potentially involve or lead to development activities that may exert negative social and environmental impacts and have cumulative impacts on the existing or planned activities of the region? For example, the direct environmental and social impacts caused by the new roads in the forests (e.g. deforestation, groundworks, potential displacement). New roads may also result in land grabs of illegal settlers or unplanned commercial development in sensitive areas along the new roads. These are indirect, secondary or induced impacts that have to be considered. Also, if similar development were to be planned in the same forests, cumulative impacts caused by multiple activities (even if not belonging to the same project) have to be taken into account.	No
Standard 2: Climate Change Mitigation and Adaptation	
2.1 Would the project potentially involve or lead to massive greenhouse gas emissions or potential exacerbation of climate change?	No
2.2 Would the project potentially involve or lead to outputs and outcomes sensitive or vulnerable to potential impacts of climate change?	No
2.3 Would the project potentially involve or lead to direct or indirect increases in vulnerability to climate change impacts now or in the future (also known as maladaptive or negative coping practices)? For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding	No
Standard 3: Community Health, Safety and Working Conditions	
3.1 Would the project potentially involve or lead to construction, operation or demolition activities that may pose safety risks to local communities?	No
3.2 Would the project potentially involve or lead to transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation) that would potentially threaten community health or safety?	No
3.3 Would the project potentially involve or lead to large-scale infrastructure development (e.g. roads, buildings, dams)?	No
3.4 Would the project potentially involve or lead to harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	No
3.5 Would the project potentially involve or lead to the vulnerability of the project to earthquakes, subsidence, landslides, erosion, floods or extreme climate conditions?	No
3.6 Would the project potentially involve or lead to higher health risks (e.g. water-borne or other vector-borne diseases, or communicable diseases such as HIV/AIDS)?	No
3.7 occupational health and safety risks due to physical, chemical, biological and psychosocial hazards throughout the project life-cycle?	No
3.8 Would the project potentially involve or lead to employment or livelihood activities that may not meet national labour laws or international commitments (i.e. principles and standards of fundamental conventions of the International Labour Organization)?	No
3.9 Would the project potentially involve or lead to recruitment of security staff that may threaten community or individual health and safety (e.g. due to lack of appropriate training or accountability)?	No
Standard 4: Cultural Heritage	

4.1 Would the project potentially involve or lead to adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.2 Would the project potentially involve or lead to utilization of tangible and/or intangible forms of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement	
5.1 Would the project potentially involve or lead to temporary or permanent and full or partial physical displacement?	No
5.2 Would the project potentially involve or lead to economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3 Would the project potentially involve or lead to risk of forced evictions?	No
5.4 Would the project potentially involve or lead to impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples	
6.1 Would the project potentially involve or lead to areas where indigenous peoples are present (including project area of influence)?	No
6.2 Would the project potentially involve or lead to activities located on lands and territories claimed by indigenous peoples?	No
6.3 Would the project potentially involve or lead to impacts to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? If the answer to screening question 6.3 is “yes”, then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk.	No
6.4 Would the project potentially involve or lead to the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5 Would the project potentially involve or lead to the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6 Would the project potentially involve or lead to forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7 Would the project potentially involve or lead to adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8 Would the project potentially involve or lead to risks to the physical and cultural survival of indigenous peoples?	No
6.9 Would the project potentially involve or lead to impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No
Standard 7: Pollution Prevention and Resource Efficiency	

7.1 Would the project potentially involve or lead to the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
7.2 Would the project potentially involve or lead to the generation of waste (both hazardous and non-hazardous)?	No
7.3 Would the project potentially involve or lead to the manufacture, trade, release, and/or use of hazardous materials and/or chemicals? Would the project potentially involve or lead to the use of chemicals or materials subject to international bans or phase-outs? For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Stockholm Convention	No
7.4 Would the project potentially involve or lead to the application of pesticides that may have a negative effect on the environment or human health?	No
7.5 Would the project potentially involve or lead to significant consumption of raw materials, energy, and/or water?	No